

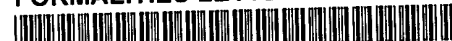
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/873,899 | 06/04/2001 | Nnochiri N. Ekwuribe | 9233-54 |

CONFIRMATION NO. 5139

FORMALITIES LETTER



OC000000006389911

20792
MYERS BIGEL SIBLEY & SAJOVEC
PO BOX 37428
RALEIGH, NC 27627

Date Mailed: 08/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION RECEIVED

FILED UNDER 37 CFR 1.53(b)

OCT 12 2001

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

R.C.B.
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2001 SLUANG1 00000012 09873899

04 03:105

130.00 CP



Attorney Docket No. 9233-54

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.

Serial No.: 09/873,899

Filed: June 4, 2001

For: *MIXTURES OF INSULIN DRUG-OLIGOMER CONJUGATES COMPRISING
POLYALKYLENE GLYCOL, USES THEREOF,
AND METHODS OF MAKING*

RECEIVED

Date: October 8, 2001

OCT 12 2001

Commissioner for Patents
Washington, D.C. 20231

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN SMALL ENTITY STATUS
UNDER 37 C.F.R. § 1.28(c)**

Sir:

Upon further examination of the above-identified application, Applicants realize that the filing fee was erroneously paid as a small entity. Applicants regret this error, which was made without any deceptive intent. Accordingly, the claim for small entity based on the payment of filing fees for a small entity is hereby withdrawn.

Pursuant to 37 C.F.R. § 1.28(c)(2), the following information is set forth hereafter: 1) each fee that was erroneously paid as a small entity, including the fee paid and the date that the fee was paid; 2) the deficiency owed for each fee that was erroneously paid; and 3) the total deficiency payment owed.

| Fee Paid | Date Paid | Amount Owed as Large Entity | Amount Paid | Deficiency |
|--|--------------|--------------------------------|-------------------|-------------------|
| Statutory Filing Fee | June 4, 2001 | \$740 | \$355 | \$385 |
| Fee for Additional Claims | June 4, 2001 | \$846 (47 x \$18) | \$423 (47 x \$9) | \$423 |
| Fee for Additional Independent Claims | June 4, 2001 | \$504 (6 x 84) | \$240 (6 x 40) | \$264 |
| | | | TOTAL OWED | \$1,072.00 |

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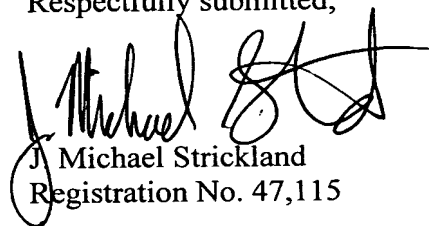
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In re: Ekwuribe et al.
Serial No.: 09/873,899
Filed: June 4, 2001
Page 2

Applicants regret this inadvertent error, which was made without any deceptive intent. A check in the amount of \$1,072 (the deficiency between the amount paid and the amount due) is enclosed.

The Commissioner is authorized to charge any additional deficiency, or credit any overpayment, to our deposit account number 50-0220.

Respectfully submitted,


J. Michael Strickland
Registration No. 47,115

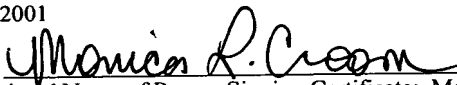


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PATENT TRADEMARK OFFICE

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on October 8, 2001

Signature: 
Typed or Printed Name of Person Signing Certificate: Monica L. Croom
Date of Signature: October 8, 2001

Attorney Docket No. 9233-54

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.
Serial No.: 09/873,899
Filed: June 4, 2001

Confirmation Number: 5139

For: MIXTURES OF INSULIN DRUG-OLIGOMER CONJUGATES COMPRISING
POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING
SAME

Date: October 8, 2001

RECEIVED

OCT 12 2001

OFFICE OF PETITIONS

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

**SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. § 1.63**

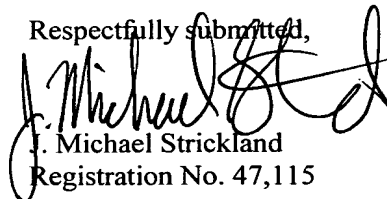
Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted (PTO-1533), mailed August 7, 2001 enclosed is a Declaration and Power of Attorney for the above-identified application, which has been executed by the named inventors.

Applicants note that a Notification of Change in Small Entity Status form is filed herewith. Accordingly, a check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e).

If any extension of time for the accompanying response or submission is required, Applicant requests that this be considered a petition therefor. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to our Deposit Account No. 50-0220.

Respectfully submitted,


J. Michael Strickland
Registration No. 47,115

USPTO Customer No.:

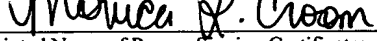


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PATENT TRADEMARK OFFICE

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on October 8, 2001

Signature: 
Typed or Printed Name of Person Signing Certificate: Monica L. Croom
Date of Signature: October 8, 2001